

Huneke-Wiegand conjecture and change of rings

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§1 Introduction

- R an integral domain
- M, N finitely generated [torsionfree](#) R -modules

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Auslander-Reiten conjecture

Let R be a commutative Noetherian ring, M a finitely generated R -module. If

$$\text{Ext}_R^i(M, M \oplus R) = (0) \text{ for } \forall i > 0,$$

then M is projective.

Huneke-Wiegand conjecture

Let R be a Gorenstein local domain, M a torsionfree R -module. If

$$M \otimes_R \text{Hom}_R(M, R) \text{ is reflexive,}$$

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Theorem 1.1 ([3, 4, 6])

Consider the following conditions.

- (1) (HWC) holds for Gorenstein local domains.
- (2) (HWC) holds for one-dimensional Gorenstein local domains.
- (3) (ARC) holds for Gorenstein local domains.

Then the implications $(1) \iff (2) \implies (3)$ hold.

Conjecture 1.2

Let R be a Gorenstein local domain with $\dim R = 1$ and I an ideal of R . If $I \otimes_R \operatorname{Hom}_R(I, R)$ is torsionfree, then I is principal.

In my talk, we are interested in the question of what happens if we replace $\operatorname{Hom}_R(I, R)$ by $\operatorname{Hom}_R(I, \mathbb{K}_R)$.

Conjecture 1.3

Let R be a C-M local ring with $\dim R = 1$ and assume $\exists \mathbb{K}_R$. Let I be a faithful ideal of R . If $I \otimes_R \operatorname{Hom}_R(I, \mathbb{K}_R)$ is torsionfree, then $I \cong R$ or \mathbb{K}_R as an R -module.

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Advantages

- \exists symmetry between I and $I^\vee := \text{Hom}_R(I, \mathbb{K}_R)$.
- Change of rings.

Unfortunately,

- $e(R) = 9 \cdots$ Conjecture 1.3 is not true in general.
- $e(R) = 7, 8 \cdots$ We don't know whether Conjecture 1.3 is true or not.

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Theorem 1.4 (Main Theorem)

Let R be a C-M local ring with $\dim R = 1$ and assume $\exists K_R$. Let I be a faithful ideal of R .

(1) Assume that the canonical map

$$t : I \otimes_R \operatorname{Hom}_R(I, K_R) \rightarrow K_R, \quad x \otimes f \mapsto f(x)$$

is an isomorphism. If $r, s \geq 2$, then

$$e(R) > (r + 1)s \geq 6,$$

where $r = \mu_R(I)$ and $s = \mu_R(\operatorname{Hom}_R(I, K_R))$.

(2) Suppose that $I \otimes_R \operatorname{Hom}_R(I, K_R)$ is torsionfree. If $e(R) \leq 6$, then $I \cong R$ or K_R .

Higher dimensional assertion is the following.

Corollary 1.5

Let R be a C-M local ring with $\dim R \geq 1$, I a faithful ideal of R .

Assume that

- $R_{\mathfrak{p}}$ is Gorenstein, and
- $e(R_{\mathfrak{p}}) \leq 6$

for every height one prime \mathfrak{p} .

If $I \otimes_R \operatorname{Hom}_R(I, R)$ is reflexive, then I is principal.

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Notation

In what follows, unless other specified, we assume

- ① (R, \mathfrak{m}) a C-M local ring with $\dim R = 1$
- ② $F = Q(R)$ the total ring of fractions of R
- ③ $\mathcal{F} = \{I \mid I \text{ is a fractional ideal such that } FI = F\}$
- ④ \exists a canonical module K_R of R
- ⑤ $M^\vee := \text{Hom}_R(M, K_R)$ for each R -module M
- ⑥ $\mu_R(M) := \ell_R(M/\mathfrak{m}M)$ for each R -module M

§2 Change of rings

Let $I \in \mathcal{F}$. Denote by

$$t : I \otimes_R I^\vee \rightarrow \mathbf{K}_R, \quad x \otimes f \mapsto f(x).$$

Then the diagram

$$\begin{array}{ccc} F \otimes_R (I \otimes_R I^\vee) & \xrightarrow{\cong} & F \otimes_R \mathbf{K}_R \\ \alpha \uparrow & & \uparrow \\ I \otimes_R I^\vee & \xrightarrow{t} & \mathbf{K}_R \end{array}$$

is commutative. Hence

$$T := \mathbf{T}(I \otimes_R I^\vee) = \mathbf{Ker} t.$$

Lemma 2.1

$I \otimes_R I^\vee$ is torsionfree $\iff t : I \otimes_R I^\vee \longrightarrow \mathbf{K}_R$ is injective.

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Lemma 2.1

$I \otimes_R I^\vee$ is torsionfree $\iff t : I \otimes_R I^\vee \longrightarrow \mathbf{K}_R$ is injective.

We set $L = \text{Im}(I \otimes_R I^\vee \xrightarrow{t} K_R)$. Look at the exact sequence

$$0 \rightarrow T \rightarrow I \otimes_R I^\vee \xrightarrow{t} L \rightarrow 0.$$

Therefore we have

$$L^\vee \cong (I \otimes_R I^\vee)^\vee = \text{Hom}_R(I, I^{\vee\vee}) \cong I : I =: B \subseteq F.$$

Let $R \subseteq S \subseteq B$. Then I is also a fractional ideal of S ,

$$\begin{aligned} L &= L^{\vee\vee} = B^\vee = K_B \subseteq S^\vee = K_S \quad \text{and} \\ \text{Hom}_S(I, K_S) &= \text{Hom}_S(I, \text{Hom}_R(S, K_R)) \\ &\cong \text{Hom}_R(I \otimes_S S, K_R) = \text{Hom}_R(I, K_R) = I^\vee. \end{aligned}$$

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Therefore the diagram

$$\begin{array}{ccc}
 I \otimes_S \operatorname{Hom}_S(I, K_S) & \xrightarrow{t_S} & K_S \\
 \rho \uparrow & & \uparrow \iota \\
 I \otimes_R I^\vee & \xrightarrow{t} & L
 \end{array}$$

is commutative, where $\rho(x \otimes f) = x \otimes f$.

Lemma 2.2

Let $I \in \mathcal{F}$ and $R \subseteq S \subseteq B := I : I$. If $I \otimes_R I^\vee$ is torsionfree, then

$$I \otimes_S \text{Hom}_S(I, K_S)$$

is a torsionfree S -module and

$$\rho : I \otimes_R I^\vee \rightarrow I \otimes_S \text{Hom}_S(I, K_S)$$

is bijjective.

In particular, if $S = B$, then

$$t_B : I \otimes_B \text{Hom}_B(I, K_B) \rightarrow K_B, \quad x \otimes f \mapsto f(x)$$

is an isomorphism of B -modules.

Proposition 2.3 (*Change of rings*)

Let $I \in \mathcal{F}$ and assume that $I \otimes_R I^\vee$ is torsionfree. If $R \subseteq \exists S \subseteq B$ such that

$$I \cong S \text{ or } K_S \text{ as an } S\text{-module,}$$

then

$$I \cong R \text{ or } K_R \text{ as an } R\text{-module.}$$

Proof.

Suppose $I \cong S$ and consider

$$I \otimes_R I^\vee \cong^p I \otimes_S \text{Hom}_S(I, K_S) \cong \text{Hom}_S(I, K_S) \cong I^\vee.$$

Then $\mu_R(I) \cdot \mu_R(I^\vee) = \mu_R(I^\vee)$, so that $I \cong R$, since $\mu_R(I) = 1$. □

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§3 Proof of Theorem 1.4

Theorem 3.1 (Theorem 1.4)

Let I be a faithful ideal of R .

(1) Assume that

$$t : I \otimes_R I^\vee \rightarrow K_R, x \otimes f \mapsto f(x)$$

is an isomorphism. If $r, s \geq 2$, then

$$e(R) > (r + 1)s \geq 6,$$

where $r = \mu_R(I)$ and $s = \mu_R(I^\vee)$.

(2) Suppose that $I \otimes_R I^\vee$ is torsionfree. If $e(R) \leq 6$, then $I \cong R$ or K_R .

Proof of assertion (1) of Theorem 1.4

Choose $f \in \mathfrak{m}$ such that fR is a reduction of \mathfrak{m} . Let

$$S = R/fR, \quad \mathfrak{n} = \mathfrak{m}/fR \quad \text{and} \quad M = I/fI.$$

Hence

$$\mu_S(M) = r, \quad r_S(M) = \ell_S((0) :_M \mathfrak{n}) = s.$$

We write $M = Sx_1 + Sx_2 + \cdots + Sx_r$ and look at

$$(\#_0) \quad 0 \rightarrow X \rightarrow S^{\oplus r} \xrightarrow{\varphi} M \rightarrow 0, \quad \varphi(\mathbf{e}_i) = x_i.$$

We get

$$(\#_1) \quad 0 \rightarrow M^\vee \rightarrow K_S^{\oplus r} \rightarrow X^\vee \rightarrow 0,$$

$$(\#_2) \quad 0 \rightarrow \text{Hom}_S(M, M) \rightarrow M^{\oplus r} \rightarrow \text{Hom}_S(X, M).$$

Proof of assertion (1) of Theorem 1.4

Since $S = \text{Hom}_S(M, M)$, we have by (#2)

$$(\#_3) \quad 0 \rightarrow S \xrightarrow{\psi} M^{\oplus r} \rightarrow \text{Hom}_S(X, M),$$

where $\psi(1) = (x_1, x_2, \dots, x_r)$.

By

$$(\#_0) \quad 0 \rightarrow X \rightarrow S^{\oplus r} \xrightarrow{\varphi} M \rightarrow 0.$$

we get

$$\ell_S(X) = r \cdot \ell_S(S) - \ell_S(M) = re - e = (r - 1)e,$$

where $e = e(R)$.

Proof of assertion (1) of Theorem 1.4

By

$$(\#1) \quad 0 \rightarrow M^\vee \rightarrow \mathbf{K}_S^{\oplus r} \rightarrow X^\vee \rightarrow 0,$$

we have

$$q := \mu_S(X^\vee) \geq \mu_S(\mathbf{K}_S^{\oplus r}) - \mu_S(M^\vee) = r \cdot \mu_S(\mathbf{K}_S) - r_S(M).$$

Therefore

$$(r-1)e = \ell_S(X) \geq \ell_S((0) :_X \mathfrak{n}) = q \geq r^2 s - s = s(r^2 - 1).$$

Thus

$$e \geq s(r+1),$$

since $r \geq 2$.

Proof of assertion (1) of Theorem 1.4.

Suppose that $e = s(r + 1)$. Then $\mathfrak{n} \cdot \text{Hom}_S(X, M) = (0)$. By

$$(\#_3) \quad 0 \rightarrow S \xrightarrow{\psi} M^{\oplus r} \rightarrow \text{Hom}_S(X, M),$$

we have

$$\mathfrak{n} \cdot M^{\oplus r} \subseteq S \cdot (x_1, x_2, \dots, x_r),$$

and therefore

$$\mathfrak{n}^2 M = (0).$$

Thus $\mathfrak{n}M \subseteq (0) :_M \mathfrak{n}$. Consequently

$$\begin{aligned} s = r_S(M) = \ell_S((0) :_M \mathfrak{n}) &\geq \ell_S(\mathfrak{n}M) = \ell_S(M) - \ell_S(M/\mathfrak{n}M) \\ &= e - r = s(r + 1) - r. \end{aligned}$$

Hence $0 \geq rs - r = r(s - 1)$, which is impossible. □

Corollary 3.2

Let R be a *Gorenstein* local ring with $\dim R = 1$ and $e(R) \leq 6$. Let I be a faithful ideal of R . If

$$I \otimes_R \operatorname{Hom}_R(I, R) \text{ is torsionfree,}$$

then I is principal.

We also prove the following theorems.

Theorem 3.3

Assume that $\mathfrak{m}\overline{R} \subseteq R$. Let I be a faithful fractional ideal of R . If $I \otimes_R I^\vee$ is torsionfree, then $I \cong R$ or K_R .

Here \overline{R} stands for the integral closure of R .

Theorem 3.4

Assume that $\mu_R(\mathfrak{m}) = e(R)$. Let I be a faithful ideal of R . If $I \otimes_R I^\vee \cong K_R$, then $I \cong R$ or K_R .

Let k be a field.

Proposition 3.5

Let $R = k[[t^a, t^{a+1}, \dots, t^{2a-1}]]$ ($a \geq 1$) be the semigroup ring and $I \neq (0)$ an ideal of R . If $I \otimes_R I^\vee$ is torsionfree, then $I \cong R$ or \mathbb{K}_R .

Corollary 3.6

Let $R = k[[t^a, t^{a+1}, \dots, t^{2a-2}]]$ ($a \geq 3$) be the semigroup ring and I an ideal of R . If $I \otimes_R \text{Hom}_R(I, R)$ is torsionfree, then I is principal.

Proof of Corollary 3.6.

Notice that R is a [Gorenstein](#) local ring with $R : \mathfrak{m} = R + kt^{2a-1}$.
Suppose that $I \not\cong R$. Then $R \subsetneq B := I : I$ and therefore

$$t^{2a-1} \in B,$$

whence

$$R \subseteq S := k[[t^a, t^{a+1}, \dots, t^{2a-1}]] \subseteq B.$$

Therefore $I \otimes_S \text{Hom}_S(I, K_S)$ is S -torsionfree, so that

$$I \cong S \text{ or } I \cong K_S$$

as an S -module by Proposition 3.5. Hence $I \cong R$ by [Change of rings](#), which is contradiction. □

Remark 3.7

Corollary 3.6 gives a new class of one-dimensional Gorenstein local domains for which [Conjecture 1.2](#) holds true.

For example, take $a = 5$. Then

$$R = k[[t^5, t^6, t^7, t^8]]$$

is Gorenstein, but [not complete intersection](#).

Conjecture 1.2

Let R be a Gorenstein local domain with $\dim R = 1$ and I an ideal of R . If $I \otimes_R \operatorname{Hom}_R(I, R)$ is torsionfree, then I is principal.

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§4 Numerical semigroup rings

Setting 4.1

Let $0 < a_1 < a_2 < \dots < a_\ell \in \mathbb{Z}$ such that $\gcd(a_1, a_2, \dots, a_\ell) = 1$.

We set

- $H = \langle a_1, a_2, \dots, a_\ell \rangle := \{ \sum_{i=1}^{\ell} c_i a_i \mid 0 \leq c_i \in \mathbb{Z} \}$
- $R = k[[H]] := k[[t^{a_1}, t^{a_2}, \dots, t^{a_\ell}]] \subseteq V = k[[t]]$
- $\mathfrak{m} = (t^{a_1}, t^{a_2}, \dots, t^{a_\ell})$ the maximal ideal of R
- $c = c(H) := \max(\mathbb{Z} \setminus H) + 1$ the conductor of H
- $\mathfrak{c} := R : V = t^c V$

Notice that

- R is a C-M local domain with $\dim R = 1$ and $V = \overline{R}$.
- $e(R) = a_1 = \mu_R(V)$.

Definition 4.2

Let $I \in \mathcal{F}$. Then I is said to be a monomial ideal, if

$$I = \sum_{n \in \Lambda} Rt^n$$

for some $\Lambda \subseteq \mathbb{Z}$.

Set

$$\mathcal{M} = \{I \in \mathcal{F} \mid I \text{ is a monomial ideal}\}.$$

Notice that

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Set

$$\mathcal{M} = \{I \in \mathcal{F} \mid I \text{ is a monomial ideal}\}.$$

Passing to the monomial ideal $t^{-q}I$ for some $q \in \mathbb{Z}$, we may assume

$$R \subseteq I \subseteq V.$$

A canonical ideal K_R of R is given by

$$K_R = \sum_{n \in \mathbb{Z} \setminus H} Rt^{a-n}$$

where $a = c(H) - 1$ ($= \max(\mathbb{Z} \setminus H)$). Therefore

$$a - n \notin H \iff t^n \in K_R$$

for $\forall n \in \mathbb{Z}$.

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where $a = c(H) - 1$ ($= \max(\mathbb{Z} \setminus H)$). Therefore

$$a - n \notin H \iff t^n \in K_R$$

for $\forall n \in \mathbb{Z}$.

From now on, we assume that $e(R) = a_1 \geq 2$. Set

$$\alpha_i = \max\{n \in \mathbb{Z} \setminus H \mid n \equiv i \pmod{e}\} \quad (0 \leq i \leq e-1)$$

and

$$\mathcal{S} = \{\alpha_i \mid 1 \leq i \leq e-1\}.$$

Hence

$$\alpha_0 = -e(R), \#\mathcal{S} = e(R) - 1, a = \max \mathcal{S} \text{ and } \alpha_i \geq i \quad (1 \leq \forall i \leq e-1).$$

Fact 4.3

- $K_R = \sum_{s \in \mathcal{S}} R t^{a-s}$.
- $\{t^{a-s} \mid s \in \mathcal{S} \text{ s.t. } t^s \in R : \mathfrak{m}\}$ forms a *minimal system of generators* for K_R .

Example 4.4

Let $H = \langle 7, 8, 10 \rangle$. The figure of H is the following (the gray part).

0	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28			...			

We have $c = c(H) = 20$, $a = 19$,

$$\begin{aligned} \mathcal{S} &= \{1, 9, 3, 11, 19, 13\}, \text{ and} \\ a - \mathcal{S} &= \{18, 10, 16, 8, 0, 6\}. \end{aligned}$$

Therefore

$$K_R = (t^{18}, t^{10}, t^{16}, t^8, 1, t^6) = (1, t^6).$$

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The goal of this section is the following.

Theorem 4.5

Let $b = \min \mathcal{S}$ and suppose $t^b \in R : \mathfrak{m}$. Let $I \in \mathcal{M}$ such that $R \subseteq I \subseteq V$. If $I \otimes_R I^\vee \cong K_R$, then $I \cong R$ or K_R .

Corollary 4.6

Suppose that $\mu_R(\mathfrak{m}) = e(R)$. Let $I \in \mathcal{M}$ such that $R \subseteq I \subseteq V$. If $I \otimes_R I^\vee \cong K_R$, then $I \cong R$ or $I \cong K_R$.

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Example 4.7

Let $H = \langle 7, 22, 23, 25, 38, 40 \rangle$. Then $\mathcal{S} = \{15, 16, 18, 33, 41\}$. We have $a = 41, b = 15$, and

$$\mathfrak{m} \cdot t^{15} \subseteq R,$$

but

$$\mu_R(\mathfrak{m}) = 6 < e(R) = 7.$$

§5 The case where $e(R) = 7$

In this section, we maintain Setting 4.1.

Lemma 5.1

Let $I \in \mathcal{M}$ such that $R \subseteq I \subseteq V$. Suppose that

$$\mu_R(I) = \mu_R(J) = 2, \quad IJ = K_R \quad \text{and} \quad \mu_R(K_R) = 4.$$

Then

$$e(R) = a_1 \geq 8,$$

where $J = K_R : I$.

Theorem 5.2

Suppose that $e(R) = a_1 \leq 7$. Let $I \in \mathcal{M}$. If $I \otimes_R I^\vee$ is torsionfree, then $I \cong R$ or K_R .

Proof.

We may assume that $I \otimes_R I^\vee \cong K_R$. Suppose that $I \not\cong R$ and $I \not\cong K_R$. Then

$$4 \leq \mu_R(I) \cdot \mu_R(I^\vee) = \mu_R(K_R) = r(R) \leq e(R) - 1 \leq 6.$$

If $r(R) = 6$, then $r(R) = e(R) - 1$. Thus

$$\mu_R(\mathfrak{m}) = e(R) = 7$$

which is contradiction. Hence $r(R) = 4$, so that $\mu_R(I) = \mu_R(I^\vee) = 2$ which violates Lemma 5.1. □

Corollary 5.3

Suppose that $R = k[[H]]$ is *Gorenstein* with $e(R) \leq 7$. Let $I \in \mathcal{M}$. If

$$I \otimes_R \operatorname{Hom}_R(I, R) \text{ is torsionfree,}$$

then I is principal.

§6 How to compute the torsion part $T(I \otimes_R J)$

Let (R, \mathfrak{m}) be a C-M local ring with $\dim R = 1$. Let

$$I = (1, f) (= R + Rf) \in \mathcal{F}$$

where $f \in F \setminus R$.

Theorem 6.1

Let $J \in \mathcal{F}$. Then

$$(J : I)/(R : I)J \cong T(I \otimes_R J), \quad \bar{c} \mapsto f \otimes c - 1 \otimes cf$$

as an R -module.

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$$(J : I)/(R : I)J \cong T(I \otimes_R J), \quad \bar{c} \mapsto f \otimes c - 1 \otimes cf$$

as an R -module.

§7 Examples

Let $I \in \mathcal{M}$. We set $J = K_R : I$.

Condition : $IJ = K_R$ and $\mu_R(K_R) = 4$

Example 7.1

Let $R = k[[t^8, t^{11}, t^{14}, t^{15}]]$. Then $K_R = (1, t, t^3, t^4)$. We take $I = (1, t)$ and set $J = K_R : I$. Then

$$J = (1, t^3), \quad IJ = K_R \quad \text{and} \quad \mu_R(K_R) = 4,$$

but

$$T(I \otimes_R J) \cong R/\mathfrak{m}.$$

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but

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Proof of Example 7.1

The figure of $H = \langle 8, 11, 14, 15 \rangle$ is the following.

0	1	2	3	4	5	6	7
8	9	10	11	12	13	14	15
16	<u>17</u>	<u>18</u>	19	<u>20</u>	<u>21</u>	22	23
24	25	26	27	28	29	30	31
32				...			

We have $c(H) = 22$, $a = 21$. Then $K_R = (1, t, t^3, t^4)$. Let $I = (1, t)$. Then

$$\begin{aligned} J &= K_R : I = (t^n \mid n \in \mathbb{Z} \text{ s.t. } 21 - n, 20 - n \notin H) \\ &= (1, t^3). \end{aligned}$$

Hence $IJ = K_R$, $\mu_R(I) = \mu_R(J) = 2$ and $\mu_R(K_R) = 4$.

Proof of Example 7.1

0	1	2	3	4	5	6	7
8	9	10	11	12	13	14	15
16	<u>17</u>	<u>18</u>	19	<u>20</u>	<u>21</u>	22	23
24	25	26	27	28	29	30	31
32				...			

Since $R : I = (t^n \mid n \in H, n + 1 \in H) = (t^{14}, t^{15}, t^{24}, t^{27})$, we get

$$(R : I)J = (t^{14}, t^{15}, t^{17}, t^{18}, t^{24}, t^{27}).$$

On the other hand,

$$\begin{aligned} J : I &= (t^n \mid 21 - n, 20 - n, 19 - n \notin H) \\ &= (t^{14}, t^{15}, \underline{t^{16}}, t^{17}, t^{18}). \end{aligned}$$

Hence $t^{16} \notin (R : I)J$ and $\mathfrak{m} \cdot t^{16} \subseteq (R : I)J$. Thus

$$\mathrm{T}(I \otimes_R J) \cong (J : I) / (R : I)J = \overline{Rt^{16}} \cong R/\mathfrak{m}.$$

□

Remark 7.2

In the ring R of Example 7.1 \exists monomial ideals I such that $I \not\cong R, I \not\cong K_R$, and $I \otimes_R I^\vee$ is torsionfree.

The following ideals also satisfy

$$IJ = \mathbb{K}_R \text{ and } \mu_R(\mathbb{K}_R) = 4$$

but $I \otimes_R I^\vee$ is not torsionfree.

- $H = \langle 8, 9, 10, 13 \rangle, \mathbb{K}_R = (1, t, t^3, t^4), I = (1, t).$
- $H = \langle 8, 11, 12, 13 \rangle, \mathbb{K}_R = (1, t, t^3, t^4), I = (1, t).$
- $H = \langle 8, 11, 14, 23 \rangle, \mathbb{K}_R = (1, t^3, t^9, t^{12}), I = (1, t^3).$
- $H = \langle 8, 13, 17, 18 \rangle, \mathbb{K}_R = (1, t, t^5, t^6), I = (1, t).$
- $H = \langle 8, 13, 18, 25 \rangle, \mathbb{K}_R = (1, t^5, t^7, t^{12}), I = (1, t^5).$

If $e(R) \geq 9$, then Conjecture 1.3 is not true in general.

Example 7.3

Let $R = k[[t^9, t^{10}, t^{11}, t^{12}, t^{15}]]$. Then $K_R = (1, t, t^3, t^4)$. Let $I = (1, t)$ and put $J = K_R : I$. Then

$$J = (1, t^3), \quad \mu_R(I) = \mu_R(J) = 2 \quad \text{and} \quad \mu_R(K_R) = 4,$$

but $I \otimes_R I^\vee$ is torsionfree.

Thank you very much for your attention.

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